



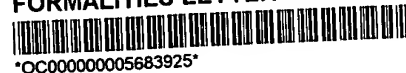
## UNITED STATES PATENT AND TRADEMARK OFFICE

 COMMISSIONER FOR PATENTS  
 UNITED STATES PATENT AND TRADEMARK OFFICE  
 WASHINGTON, D.C. 20231  
 www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/715,876	11/18/2000	John E. Edwards JR.	259/064

 22249  
 LYON & LYON LLP  
 SUITE 4700  
 633 WEST FIFTH STREET  
 LOS ANGELES, CA 90071-2066

## FORMALITIES LETTER



\*OC000000005683925\*

Date Mailed: 01/17/2001



## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- The oath or declaration is missing.  
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The nucleotide and/or amino acid sequence disclosure contained in this application does not comply with the requirements for such a disclosure as set forth in 37 CFR 1.821 - 1.825 for the following reason(s):
  - A copy of the "Sequence Listing" in computer readable form has not been submitted as required under 37 CFR 1.821(e).

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-1123
- For CRF Submission Help, call (703) 308-4212
- PatentIn Software Program Support
- - For Technical Assistance, call (703) 287-0200
- - To Purchase PatentIn Software, call (703) 306-2600

- The balance due by applicant is \$ 840.

 03/23/2001 MYUSIF1 00000029 122475 09715876  
 01 FC:201 353.00 CH  
 02 FC:205 63.00 CH

*A copy of this notice **MUST** be returned with the reply.*

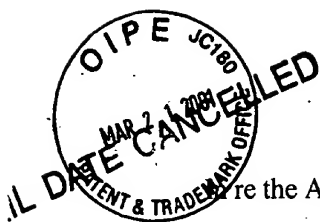


**MAIL DATE CANCELLED**

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE





3-2K-01

158-10  
Borser  
Patent  
Attorney Docket: 259/064

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Re the Application of:

Inventor: John E. Edwards Jr., et al.

Serial No.: 09/715,876

Filed: November 18, 2000

For: PHARMACEUTICAL  
COMPOSITIONS AND METHODS TO  
VACCINATE AGAINST DISSEMINATED  
CANDIDIASIS

Group Art Unit: Not Yet Assigned

Examiner: Not Yet Assigned

TRANSMITTAL OF MISSING PARTS

Box Missing Parts  
Commissioner for Patents  
Washington, D.C. 20231

The PTO did not receive the following  
listed item(s) the sac were  
wet

Sir:

I. DOCUMENTS ENCLOSED:

In response to the NOTICE TO FILE MISSING PARTS OF APPLICATION UNDER  
37 CFR § 1.53(f), which was mailed by the Patent Office on January 17, 2001, enclosed are:

- ☒ Declaration
- ☒ Power of Attorney: ☒ Separate or ☐ Combined with Declaration
- ☒ Assignment of the invention to Harbor-UCLA Research and Education Institute  
(together with PTO Form 1595)

OC-76898.1

CERTIFICATE OF MAILING  
(37 C.F.R. §1.8a)

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as 'Express Mail Post Office To Addressee' in an envelope addressed to the Commissioner for Patents, Washington, D.C. 20231.

EL476730592US

Express Mail Label No.

March 16, 2001

Date of Deposit

Lynne Fulmer

Signature of Person Mailing Paper



Patent  
Attorney Docket: 259/064

- ☒ CANCELLED
- ☒ Small Entity Statement
- ☒ Diskette Containing Sequence Listing
- ☒ Hard Copy of Sequence Listing
- ☒ Return Postcard
- ☒ A copy of the Notice to File Missing Parts of Application under 37 CFR § 1.53(f)

## II. REQUEST FOR EXTENSION OF TIME:

The proceedings herein are for a patent application and the provisions of 37 CFR § 1.136 apply.

- ☐ Applicant(s) petitions for an extension of time under 37 CFR § 1.136 [fees: 37 CFR § 1.17(a)(1)-(5)] for the total number of months checked below:

EXTENSION (months)	FEE FOR SMALL ENTITY	FEE FOR OTHER THAN SMALL ENTITY
<input type="checkbox"/> one month	\$55.00	\$110.00
<input type="checkbox"/> two months	\$195.00	\$390.00
<input type="checkbox"/> three months	\$445.00	\$890.00
<input type="checkbox"/> four months	\$695.00	\$1,390.00
<input type="checkbox"/> five months	\$945.00	\$1,890.00

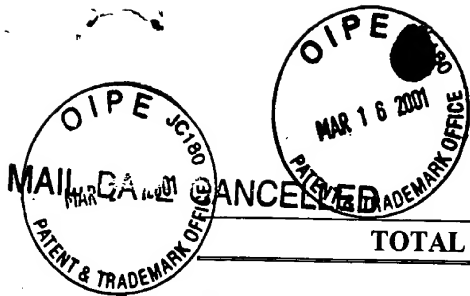
Fee

- ☐ If any extension fee is required, please consider this a petition therefor.

## III. FILING FEES

- ☒ Applicant claims small entity status pursuant to 37 CFR 1.27.

BASIC FILING FEE:					\$710.00
Total Claims	8	-	20 = 0	x \$18.00	\$0.00
Independent Claims	2	-	3 = 0	x \$80.00	\$0.00
Multiple Dependent Claims	\$270	(if applicable)		<input type="checkbox"/>	\$0.00
Surcharge 37 CFR § 1.16(e)	\$130	(if applicable)		<input checked="" type="checkbox"/>	\$130.00
TOTAL OF ABOVE CALCULATIONS					\$840.00
Reduction by ½ for Filing by Small Entity. Note 37 CFR §§ 1.9, 1.27, 1.28.					<input checked="" type="checkbox"/> \$420.00
Extension of Time (from above)					\$0.00
Assignment -- \$40 (if applicable)					<input checked="" type="checkbox"/> \$40.00

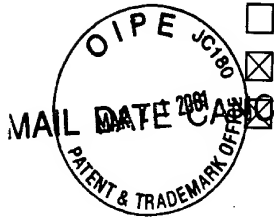


Patent  
Attorney Docket: 259/064

**TOTAL FEES SUBMITTED HERewith**

**\$460.00**

**IV. METHOD OF PAYMENT OF FEES:**



- ☐ A check in the amount of \_\_\_\_\_ is enclosed to cover the above fee(s).
- ☒ Charge Lyon & Lyon's Deposit Account No. **12-2475** in the amount of **\$460.00**.
- The Commissioner is authorized to charge Lyon & Lyon's Deposit Account No. **12-2475** for any fees required under 37 CFR §§ 1.16, 1.17 and 1.445 that are not covered, in whole or in part, by a check enclosed herewith and to credit any overpayments to said Deposit Account No. **12-2475**.

Respectfully submitted,

LYON & LYON LLP

Dated: March 16, 2001

By: 

Kurt T. Mulville  
Reg. No. 37,194



**22249**

PATENT TRADEMARK OFFICE

LYON & LYON LLP  
Suite 4700  
633 W. Fifth Street  
Los Angeles, CA 90071  
949/567-2300 X 1124 Telephone  
213/955-0440 Facsimile